

## COMPANY OBJECTIVE

ACN provides IT, Marketing and Compliance services to investment firms and independent professionals. We strive to integrate our client's hardware and software technology in order to provide a more dynamic business resource.

By focusing on investment firms and professionals, coupled with our industry background and experience, we are able to relate to our client's circumstances and provide effective solutions to fit their needs as well as industry requirements.

ACN's primary objective is to offer software solutions that maximize a firm's operating efficiencies in *handling information*. *Information* such as research databases; contact data used in marketing and handling clients' accounts; investment data used in managing clients' portfolios; manager profiles used by consultants in analyzing and recommending investment alternatives; and, documentation required by government agencies.

Hardware and software technologies are the tools to process the data you require to run your business. **ACN** will apply these tools to gather and interpret the *information* you need ***in the right format, at the right time!***

Finding a balance between reducing costs and utilizing new technologies can determine which firms will prosper and which will not.

## ACN SOLUTIONS

### ACN Marketing Services

Helping You Sell YOU !

Build your brand name with brochures, mailers, ads, graphics, website and more.

### ACN IT Pro-Active Management

#### IT Systems Consulting & Software Application Development

- Systems Integration and Analysis
- Internet and/or Intranet Installations
- Disaster Recovery Planning
- Website Design and Installation
- Policy and Procedures Development
- Network Design and Integration

### ACN Compliance Consulting Services

SEC & State RIA Registration  
Policy & Procedures Manual Development  
Compliance Audit  
Advertising Review & Consultation  
EDGAR Filings / IARD Service

### ACN Software Programs

ACN / Form ADV  
ACN / Form U-4 & U-5  
ACN / Form 13F  
ACN / Form 13D / 13G  
ACN / Form D  
ACN / Compliance Policy & Procedures Manual

Advisor  
Consultant  
Network, Inc.



IT, Marketing & Compliance Services  
For Investment Firms

ACN - Corporate Services

## MISSION STATEMENT

**ACN's** goal is to advance our clients' ability to increase productivity, lower costs and improve their competitiveness. We do this in the following manner:

by designing strategic solutions through automation of routine activities.

by implementing new systems to cut costs and adjust the way they do business.

by showing companies how best to use technology.

by introducing new forms of technology to improve operations, reduce overhead and increase profit margins.

**ACN** will offer a cost-effective solution tailored to meet a wide variety of needs and budgets whether those solutions are based on current investments in hardware and standard software applications or designed from the ground up.

**ACN** is proud to be able to provide this dimension of services for investment firms and independent professionals. We are offering our IT, compliance and marketing communications expertise in the same spirit – to save you time, money and help you grow your business.

We want to work with you as your strategic partner. Like you, our success can only be achieved by helping you become more successful.

## PRODUCTS & SERVICES

**Time and money saving solutions for the investment community.**

### **IT Pro-Active Management**

Policy and Procedures Development  
Disaster Recovery Plans  
Hardware & Software Maintenance  
Trouble Shooting and Support

### **ACN Marketing Services**

Who Is Helping You Sell YOU ?

Build your brand name with brochures, mailers, ads, graphics, website and more. Put an experienced staff of professionals to work for you.

### **ACN Compliance Services**

Compliance Audit  
Consulting Services on Retainer  
EDGAR and IARD Filing Agent  
SEC & State Registrations  
Policy & Procedures Manual

### **ACN Software Programs**

ACN / Form ADV  
ACN / Form BD + U-4 & U-5  
ACN / Form 13F and 13G  
Compliance Policy & Procedures Manual

## COMMITMENT TO CLIENTS

**ACN's Commitment to Excellence.**

A challenge for many firms today is how to make *information* available to all those who need it, employees, consultants and clients alike, without duplicating the data or the task. Having this information at your fingertips is important so that optimum decisions are made; productivity increased and benefits derived.

**ACN's** commitment to an investment firm is to advise you on how best to use your existing technology and introduce you to new forms of hardware and software in order to improve your operations, reduce your overhead and increase your profit margins.

In order to fulfill our mission, **ACN** will deliver quality solutions in a punctual manner; create value in our software applications and recommendations; work within budget; and strive to meet and surpass your expectations in our work and ideas.

**ACN has the resources to solve your technical problems, overcome your software challenges and deliver the services to build your business.**

**Contact ACN to fulfill your  
IT, Compliance and/or Marketing needs.  
216-522-1908**



**Advisor Consultant Network, Inc.**

IT Software and Services for Investment Firms